# **FORM 22**

# TRADING REPORT OF A PERSON CONNECTED TO A REPORTING ISSUER

Pursuant to sections 136(1) and 136(2) of the Securities Act, 2012 and By-law 81 of the Securities (General) By-Laws, 2015

# GENERAL INSTRUCTI ONS

This report must be completed by Senior Officers or Controlling Shareholders of a reporting issuer where that person's interest, direction or control over securities of the reporting issuer changes.

Where a person connected to the reporting issuer does not own or have control or direction over securities of the reporting issuer, or where such person's ownership or direction or control over securities of the reporting issuer remains unchanged from the last report filed, a report is not required.

The Completed Form should be submitted to:

The Director

Market Regulation & Surveillance Division

Trinidad and Tobago Securities and Exchange Commission

57-59 Dundonald Street

Port of Spain

Trinidad

#### **BOX 1** Name of the reporting issuer

State the exact name as specified in the reporting issuer's constituting or organizational documents. Complete a separate report for each reporting issuer.

#### BOX 2 Name, address and telephone number of the Connected Person

Provide your name, address and business telephone number.

If you have filed a report before, indicate whether your contact information has changed. Select N/A (Not Applicable) if the filing will represent your initial filing.

### BOX 3 Person connected to reporting issuer data

Indicate all of your relationship(s) to the reporting issuer using the following codes:

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Security holder who beneficially owns or who exercises control or direction over more than 10% of the voting securities of the reporting issuer

Director of a reporting issuer 2

Senior officer (other than a Director) of a reporting issuer 3

If you have filed a report before, indicate whether your relationship to the reporting issuer has changed. Select N/A (Not Applicable) if the filing will represent your initial filing.

Specify the date of the last report you filed and the date on which you became a connected person.

#### BOX 4 Connected Person holdings and changes

Show direct and indirect holdings separately, both in the initial report and where a transaction is reported. Indicate only one transaction per line.

For an initial report complete only:

- **A.** designation of class of securities held.
- **D.** present balance of class of securities held.
- **E.** nature of ownership (see List of Codes).
- **F.** identification of the registered holder where ownership is indirect.

If you acquired or disposed of securities while you were a Connected Person, complete sections A to F:

- **A.** Indicate a designation of the securities traded that is sufficient to identify the class, including yield, series or maturity.
- **B.** Indicate the number of securities, or for debt securities, the aggregate nominal value of the class held, directly and indirectly, <u>before</u> the transaction that is being reported.
- **C.** Indicate for each transaction:
  - the date of the transaction (not the settlement date).
  - the nature of the transaction (see List of Codes).
  - the number of securities acquired or disposed of, or for debt securities, the aggregate nominal value.
  - the unit price paid or received on the day of the transaction, excluding the commission.
  - if the report is in a currency other than Trinidad and Tobago Dollars, indicate the currency in the space provided (e.g. EUR, USD, etc.)

# LIST OF CODES

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- D. Indicate the number of securities, or in the case of debt securities, the aggregate nominal value, of the class held, directly or indirectly, after the transaction that is being reported.
- E. Indicate the nature of ownership, control or direction in respect of the class of securities held using the following codes:

Direct ownership Indirect ownership (identify the registered holder) Control or direction (identify the registered holder)

F. For securities that are indirectly held, or over which control or direction is exercised, identify the registered holder.

#### BOX 5 Remarks

Add any explanation necessary to make the report clearly understandable.

If space provided for any item is insufficient, additional sheets may be used. Additional sheets must refer to the appropriate Box and must be properly identified and signed.

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# BOX 6 Signature and filing

Sign and date the report.

File one copy of the report with the Trinidad and Tobago Securities and Exchange Commission within the prescribed time limits.

Manually sign the report.

Legibly print or type the name of each individual signing the report.

If the report is filed on behalf of a company, partnership, trust or other entity, legibly print or type the name of that entity after the signature.

If the report is signed on behalf of an individual by an agent, there shall be filed with each jurisdiction in which the report is filed a duly completed power of attorney.



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Pursuant to sections 136(1) and 136(2) of the Securities Act, 2012 and By-law 81 of the Securities (General) By-Laws, 2015

FAMILY NAME OR CORPORATE NAME

2. IDENTIFICATION OF THE PERSON CONNECTED TO THE REPORTING ISSUER

1. IDENTIFICATION OF THE REPORTING ISSUER

NAME OF REPORTING ISSUER

RELATIONSHIP TO Date of last			Cı	CITY, COUNTRY POSTAL CODE							
REPORTING IS	SUER	report filed.									
CHANGE IN RELATIONSHIP FROM LAST REPORT		OR  DATE ON WHICH YOU BECAME CONNECTED TO THE REPORTING ISSUER		Bu	BUSINESS TELEPHONE NUMBER		BUSINE	BUSINESS FAX NUMBER			
( )YES ( )	No ( ) N/A			Cı	HANGE FROM PREVIOUS RI		YES		NO N	V/A	
4. HOLDI	NGS AND CHANGES OF PER	SON CONNECTED	TO THE REF	PORTIN	G ISSUER (IF INITIAL	REPORT, COMPLET	TE COLUMNS A	, D, E AND F	ONLY. SEE ALSO INST	RUCTIONS TO BO	OX 4)
A	В				C				D	E	F
TION OF CLASS CURITIES	BALANCE OF CLASS OF SECURITIES AT THE TIME OF FILING AN INITIAL REPORT OR AS AT LAST REPORT	DAY MON		Nature	TRANSACTIONS NUMBER/VALUE ACQUIRED	Number/value disposed of	UNIT PRICE/ EXERCISE PRICE	CURRENCY	PRESENT BALANCE OF CLASS OF SECURITIES HELD		IDENTIFY THE REGISTERED HOLDER WHERE OWNERSHIP IS INDIRECT OR WHERE CONTRO OR DIRECTION IS EXERCISED
					Total IVI I	S. Carrier					
NT _	YES NO	5. Remarks									
NT _	YES NO	I, the undersigned, hereby co	ertify that to the bes	et of my kno	wledge and belief the information	n given in this report and an a misrepresentation.	ny attachment provide	d thereto is true an	d complete in every respect. It i	s an offence	

